

BEFORE THE SECURITIES COMMISSIONER

STATE OF COLORADO

Case No. XY 20130001

**STIPULATION FOR CONSENT ORDER CONCERNING WEALTH
MANAGEMENT RLJ d/b/a PLANNING STRATEGIES, INC. AND
ROBERT LAMAR JONES**

IN THE MATTER OF WEALTH MANAGEMENT RLJ CO d/b/a PLANNING
STRATEGIES, INC. AND ROBERT LAMAR JONES

Respondents.

The Staff of the Colorado Division of Securities ("Staff"), by and through their counsel, the Colorado Attorney General Office, and Respondents Wealth Management RLJ CO d/b/a Planning Strategies, Inc. ("Wealth") and Robert Lamar Jones ("Jones") (collectively "Jones Respondents") hereby enter into this Stipulation for Consent Order ("Stipulation") in this matter as follows:

1. The Staff has conducted an investigation pursuant to the authority provided in §§ 11-51-403 and 11-51-601, C.R.S.

2. As a result of the Staff's investigation, the Staff filed charges and allegations against the Jones Respondents for violations of §§ 11-51-410(b) and (g), C.R.S., § 11-51-407(5), C.R.S., and Rules 51-4.10(B)(2)(b)(ii), 51-4.6(IA)(A)(7)(b)), (10), and (18), 51-4.7, and 51-4.8(IA), as set forth more fully in the Staff's Notice of Duty to Answer, Notice to Set, Notice of Hearing, and Notice of Charges ("Notice of Charges") filed with this Court on March 1, 2013 and incorporated by reference as if fully set forth herein. These alleged violations, if proven, could constitute grounds for imposition of sanctions against Jones's sales representative license and investment adviser representative license and Wealth's investment adviser license pursuant to § 11-51-410(1), C.R.S.

The Staff and Respondents, in order to resolve this matter without a formal hearing, hereby stipulate and agree as follows:

3. The Securities Commissioner for the State of Colorado has jurisdiction over the Respondents and the subject matter of this proceeding.

4. Respondents agree to the entry of a Consent Order in the form attached hereto and incorporated by reference.

5. The Respondents agree to withdraw their investment adviser and investment adviser representative licenses on or before July 31, 2013. In the event that Respondents fail to withdraw their licenses by June 31, 2013, the licenses shall be deemed to be withdrawn. The Respondents further agree that they will not re-apply for licensure within the State of Colorado as an investment adviser, or an investment adviser representative.

6. Respondents neither admit nor deny the allegations contained within the Notice of Charges.

7. Respondents understand that they have the following rights: (1) to have a formal hearing pursuant to §§ 11-51-606(1), 24-4-104 and 24-4-105, C.R.S. concerning the allegations asserted against Respondents; (2) to be represented by counsel of their choice; (3) to present a defense through oral or documentary evidence; (4) to cross-examine witnesses at such hearing; and (5) to seek judicial review of the Consent Order as provided in §§ 11-51-607 and 24-4-106, C.R.S. By entering into this Stipulation, Respondents expressly waive the rights set forth in this paragraph.

8. Respondents acknowledge that they have entered into this Stipulation voluntarily, after the opportunity to consult with counsel of their choice, and with the understanding of the legal consequences of this Stipulation and Consent Order.

9. Respondents agree not to take any action or to make, or permit to be made, any public statement denying, directly or indirectly, any Finding or Conclusion in the Consent Order or creating the impression that the Consent Order is without factual basis; provided, however, that nothing in this provision shall affect Respondents' right to assert any defense in any subsequent proceeding except a proceeding to enforce this Stipulation or the Consent Order.

FOR RESPONDENTS:

WEALTH MANAGEMENT RLJ d/b/a PLANNING STRATEGIES, INC.



Robert Lamar Jones, President

ROBERT LAMAR JONES

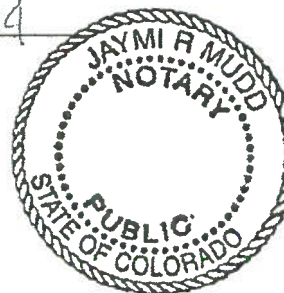
 July 8, 2013

Robert Lamar Jones

Subscribed and sworn before me by Robert Lamar Jones, in the County of Public, State of Colorado, this 8th day of July, 2013.


Notary Public

My Commission Expires: 1/25/2014



FOR THE STAFF OF THE DIVISION OF SECURITIES:

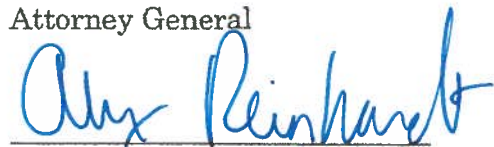


Gerald Rome
Deputy Securities Commissioner

7/23/13
Date

Approved as to Form:

JOHN SUTHERS
Attorney General



Alexander C. Reinhardt
Assistant Solicitor General